



Draft: Element 1: General – SEMS Establishment, Implementation, Maintenance and Performance

1. **Intent of the SEMS Element:** The organization's management has established, implemented and maintained a SEMS and regularly reviews its suitability, adequacy, and effectiveness to continuously improve the system.
2. **Audit Objectives:** Verify that components have been established, implemented, maintained and are suitable, adequate and effective in meeting element requirements.

Key Principles:

- Components are established.
- Components are implemented and maintained.
- Components are suitable, adequate and effective.

3. Key Questions:

- a. What management system has been established, implemented and maintained?
- b. What components have been established, implemented and maintained to assign accountability and responsibility for the management system?
- c. What components have been established, implemented and maintained to determine the skills and knowledge of those assigned accountability and responsibility for the management system.
- d. Identify people accountable and responsible for the management system and the components identified in Questions a. and b. and verify through sampling that they have the skills and knowledge identified in Question c.
- e. How does the organization review that the management system and the components identified in Questions a and b have been implemented and maintained and are suitable, adequate and effective?
- f. Verify through sampling that the review conducted in Question e. resulted in continuous improvement of the management system and components identified in Questions a and b, if applicable?

Draft: Element 1: General – SEMS Objectives, Goals and Performance Measures

1. **Intent of the SEMS Element:** The organization's management establishes, measures, assesses and uses SEMS objectives, goals and performance measures to continuously improve the system.
2. **Audit Objectives:** Verify that components have been established, implemented, maintained and are suitable, adequate and effective in meeting the element requirements.

Key Principles:

- Components are established.
- Components are implemented and maintained.
- Components are suitable, adequate and effective.

3. **Key Questions:**

- a. What components have been established, implemented and maintained for defining safety and environmental objectives, goals and performance measures?
- b. What components has been established, implemented and maintained to assign accountability and responsibility for the components identified in Questions a.?
- c. What components have been established, implemented and maintained to determine the skills and knowledge of those identified in Question a. and b.
- d. Identify people accountable and responsible for the components established in Questions a. and b. and verify through sampling that they have the skills and knowledge identified in Question c.
- e. How does the organization review that the components identified in Questions a-c have been implemented, maintained and are suitable, adequate and effective?
- f. Verify through sampling that the review conducted in Question e. resulted in continuous improvement of the components identified in Questions a-c, if applicable?

Draft: Element 1: General – Communication

1. **Intent of the SEMS Element:** The organization's management has established, implemented and maintains a SEMS communication components.
2. **Audit Objectives:** Verify that components have been established, implemented, maintained and are suitable, adequate and effective in meeting element requirements.

Key Principles:

- Components are established.
- Components are implemented and maintained.
- Components are suitable, adequate and effective.

3. **Key Questions:**

- a. What components have been established, implemented and maintained for internal and external communication of safety and environmental information and events, including SEMS objectives, goals, and performance measures?
- b. What components have been established, implemented and maintained for receiving, documenting and responding to relevant communications from external interested parties?
- c. What components have been established, implemented and maintained to assign accountability and responsibility for the components identified in Questions a. and b.?
- d. What components have been established, implemented and maintained to determine the skills and knowledge of those identified in Question c.
- e. Identify people accountable and responsible for the components established in Questions a-c and verify through sampling that they have the skills and knowledge identified in Question d.
- f. How does the organization review that the components identified in Questions a-d have been implemented, maintained and are suitable, adequate and effective?
- g. Verify through sampling that the review conducted in Question f. resulted in continuous improvement of the components identified in Questions a-d, if applicable?

Draft: Element 1: General – Standards and Government Regulations

1. **Intent of the SEMS Element:** The organization's management has established, implemented and maintains components to identify, monitor and provide access to standards and government regulations applicable to its offshore facilities and operations.
2. **Audit Objectives:** Verify that components have been established, implemented, maintained and are suitable, adequate and effective in meeting element requirements.

Key Principles:

- Components are established.
- Components are implemented and maintained.
- Components are suitable, adequate and effective.

3. Key Questions:

- a. What components have been established, implemented and maintained to identify, monitor and provide access to standards and government regulations applicable to its offshore facilities and operations?
- b. What components have been established, implemented and maintained to assign accountability and responsibility for the components identified in Questions a?
- c. What components have been established, implemented and maintained to determine the skills and knowledge of those identified in Question b.
- d. Identify people accountable and responsible for implementing the components established in Questions a. and b. and verify through sampling that they have the skills and knowledge identified in Question c.
- e. How does the organization review that the components identified in Questions (a-d) have been implemented, maintained and are suitable, adequate and effective?
- f. Verify through sampling that the review conducted in Question e. resulted in continuous improvement of the components identified in Questions a-d, if applicable?